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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	1999-0414	Total Pages	25
	First Named Inventor or Application Identifier			
	Robert Cahn			
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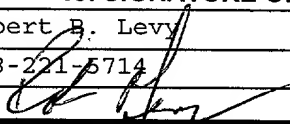
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form <small>(submit an original, and a duplicate for fee processing)</small> 2. <input checked="" type="checkbox"/> Specification [Total Pages 11] <small>(preferred arrangement set forth below)</small> - Descriptive title of invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings(if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Drawing(s)(35 USC 113) [Total Sheets 7] 4. Oath or Declaration [Total Pages 2] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application(37 CFR 1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> <small>[Note Box 5 below]</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</small> <input type="checkbox"/> Incorporation by reference(useable if Box 4b is checked) <small>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference herein.</small>	6. <input type="checkbox"/> Microfiche Computer Program (Appendix) 7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy(identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
<b>ACCOMPANYING APPLICATION PARTS</b> 8. <input checked="" type="checkbox"/> Assignment Papers(cover sheet & document(s)) 9. <input type="checkbox"/> 37 CFR 3.73(b)Statement <input type="checkbox"/> Power of Attorney 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement(IDS)/PTO-1449 Citations 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application, Statement(s) Status still proper and desired 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input type="checkbox"/> Other :	

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No:

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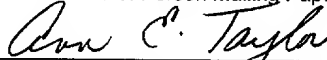
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I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington D.C. , 20231

Ann E. Taylor

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 (Signature of Person Mailing Paper)

**TITLE OF THE INVENTION**  
**METHOD FOR MONITORING A NETWORK**

5

Inventor: Robert Cahn

**BACKGROUND OF THE INVENTION**

10 Field of the Invention

The invention disclosed broadly relates to communications networks and more particularly relates to network monitoring techniques to optimize network performance.

Consider the case when an Atlantic cable becomes unavailable and the traffic from New York City to London must be rerouted via New York City-San Francisco-Hawaii-Tokyo-  
15 Singapore-Tel Aviv-Paris-London. After the network outage has been restored, it is not true that the traffic immediately moves back to the preferred route. This is due to several factors:

[1] There is a concern that by moving all the traffic back immediately the nodes may fail in a "fire storm" of activity. The network can be capsized like a ship when all the passengers rush from one side to the other.

20 [2] Other traffic may have preempted some essential bandwidth before the restoral is attempted and there is not enough capacity to reroute the traffic.

[3] The network may be dealing with other outages and be too busy to attempt the restoral.

25 Whatever the reasons, it is essential that the network operator be able to monitor the "network health" of the system. The invention disclosed herein does just that.

**SUMMARY OF THE INVENTION**

Briefly, in accordance with the invention, there is provided a method to monitor the status of a network by a network management system that includes information describing the  
30 current network topology including information describing possible restoration routes. From this information, the network management system computes three measures of network health: (1)

the sum of unrouted permanent virtual circuits, (2) the sum of permanent virtual circuits whose cost exceeds a prescribed multiple of an optimal route cost and (3) the sum of permanent virtual circuits off an optimal path. Each of these measures relates to a different aspect of network health, and collectively they provide a measure of overall network performance. The method  
5 compares the measures of network health to a threshold value and selects an optimum restoration route from the stored restoration routes.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

10 Figures 1 to 7 show a network of eight nodes and I/O links with various examples of traffic patterns and outages.

Figure 8 shows the method step of extracting the topology and the routes that the traffic is using in the network of Figure 1.

15 Figure 9 shows the step of inputting the topology, with the administrative costs, to a shortest path algorithm.

Figure 10 shows the step of comparing the routes to the shortest route.

Figure 11 shows a time scale illustrating that a service disruption can last far longer than the outage that triggered it.

20 Figure 12 shows a graph of number of circuits versus time since an outage, and illustrates restoring circuits at a rate parameterized by P.

Figure 13 shows a graph of number of circuits versus time since an outage, and illustrates an overly aggressive restoral.

Figure 14 shows a graph of number of circuits versus time since an outage, and illustrates an accelerated restoral going well.

## **DISCUSSION OF THE PREFERRED EMBODIMENT**

30 Figure 1 shows a network of eight nodes and I/O links with an example traffic pattern to indicate how the network health method operates. Each of the nodes can be a network router which includes a data processor. The network health method can be implemented as a computer program which can be executed on each data processor within each router, in a distributed

manner. Alternately, each router can be linked to a central data processor (not shown) which runs the program to control the routers. The nodes are named A through H and the links have the weights or administrative costs shown. To keep things simple assume that the following traffic:

5

Between A and E there are 2 units of flow.

Between B and E there are 3 units of flow.

Between B and H there are 2 units of flow.

Between F and E there are 3 units of flow.

10 Between H and E there are 5 units of flow.

If the capacity of each link is 20, then all the traffic can follow the "best" or shortest path. Fig. 2 illustrates some of the obvious and unobvious routes. The preferred route is from A to E in Figure 2.

15

In Figure 3, the best route from F to E is also of weight 4 but takes 4 hops rather than the 3-hop path, F - G - H - E with weight 8.

Listed below are all the "shortest routes" for the traffic as follows:

Between A and E the shortest route is A - B - C - D - E with an administrative cost of 4.

Between B and E the shortest route is B - C - D - E with an administrative cost of 3.

20

Between B and H the shortest route is B - C - D - H with an administrative cost of 3.

Between F and E the shortest route is F - G - C - D - E with an administrative cost of 4.

Between H and E the shortest route is H - D - E with an administrative cost of 2.

Assume that the network is in the base state and that all traffic is on the shortest route. Now consider what happens in a number of failures and restorations.

25

### **Failure of the A to B link**

Assume that the A to B link is cut or one of the ports terminating the link fails as is shown in Figure 4. Only the A to E traffic will be affected. The network will look for another route; but since the failure separates the network into two disconnected pieces, there is none. If the network health method of the invention is executed at this moment, it will report as follows:

30

1. Unrouted traffic= 2.
2. Seriously misrouted traffic = 0
3. Off optimal path traffic = 0

5           The other four pieces of traffic remain on their optimal paths. Before the next example, the link is restored. In many systems, such restoration will restore all three values of network health to zero.

### **Failure of the D to H link**

10           The failure of the D to H link in Figure 5 is a more interesting case to consider. The network does not disconnect, but two of the shortest paths will not work. The two routes shown below will no longer work:

Between B and H the shortest route is B - C - D - H with an administrative cost of 3.

Between H and E the shortest route is H - D - E with an administrative cost of 2.

15           Between B and H the best alternate path is now B - C - G - H with an administrative cost of 4. The ratio of the administrative costs is  $4/3 < 1.5$

20           Therefore this traffic will be counted as off optimal. The best alternate path for the H-E traffic is either H - E or H - G - C - D - E. Both have an administrative cost of 5. Since  $5/2 > 1.5$ , this traffic is counted as seriously misrouted. Thus the network health tool reports:

1. Unrouted traffic= 0.
2. Seriously misrouted traffic = 5
3. Off optimal path traffic = 2

25

All the traffic is carried, but there are five units that are on a really bad path. Again, before going to the next case, the D to H link is restored, bringing all three measures back to 0.

30           The method of how the network picks the alternate route is usually out of the control of the network operator. In the example of the D - H edge failing, it may be that the rerouting is done by the nodes at the end of the link. In several real networks, however, the path is chosen by

the traffic end point with the lower IP address. This may lead to situations where it is impossible to predict what will happen because of what are known as "race conditions".

### Network Congestion

Sometimes the network is congested. In simple terms there is too much traffic. The examples up to now have considered links that were large compared to the traffic. Now suppose that each link has only the capacity to carry five units of flow. After loading the first two pieces of traffic three links are saturated and can carry no additional flow. As seen in Figure 6, the "2" and "3" attached to the arrows represent the size of the flows while other numbers give the administrative costs. The best path to load the B to H flow is the one that avoids the saturated links is B - F - G - H. This is not the optimal path but it is not bad enough to be severely misrouted. The only available path for the F to E traffic is now F - G - H - E. This has an administrative cost of eight compared to the best path with length four and is severely misrouted. Two additional links become saturated as is shown in Figure 7. That leaves only one more piece of traffic, from H to E. It can't go directly since there are already three units of flow on the H - E link and can't include any additional traffic. The link from H to D is a cul-de-sac since both links out of node D are saturated. Thus the network health parameters are

1. Unrouted traffic = 5
  2. Seriously misrouted traffic = 3
  3. Off optimal path traffic = 2
- even though there has been no failure.

There are two other possibilities in this situation. If the order of B - H traffic and H - E traffic is reversed then one piece of traffic is not carried but it is now smaller, leaving:

1. Unrouted traffic = 3
2. Seriously misrouted traffic = 5
3. Off optimal path traffic = 2.

Since unrouted traffic is a more serious situation than misrouted traffic, this situation might be thought of as "better" than the other.

The other possibility is that instead of rejecting the five units of H - E flow because the network can't carry it, take two units and block three. That produces the same network health numbers as in the previous paragraph, but a different flow pattern.

## 5 The flow of the Network Health Method

### Step 1

Begin the method of the invention by extracting out the topology and the routes that the traffic is using. This is demonstrated in Figure 8.

10 Generally the topology is extracted far less frequently than the routes. Many network topologies are relatively static and only change weekly or monthly during change windows. The traffic routes can change on a minute-by-minute basis, especially if the network is reacting to or recovering from failure.

### Step 2

15 The next step is to process the topology, as illustrated in Figure 9. The topology, with the administrative costs, is fed to a shortest path algorithm such as Dijkstra's algorithm or Bellman's algorithm. Both are completely standard and can be found in any text that covers graph algorithms.

### 20 Step 3

Lastly, process the routes by comparing them to the shortest route as is shown in Figure 10. Every piece of traffic is OK (that is on the shortest path), Off the optimal path, Severely misrouted, or Unrouted. After all the traffic is processed, these numbers are output. As discussed later, these numbers are not of so much of interest alone, but it is their evolution as  
25 time passes that gives insight into the condition of the network.

## Uses of the Network Health Method

### Tuning Restoral Rates

30 During outages today, network operators tend to fly blind. That is to say that the network handles the failure, but is not instrumented to tell anyone what it is doing. It is understandable that networks don't report their actions in the very recent past since the network may be far too

busy to do anything else. Nevertheless, the consequences of an outage may be very long lasting as illustrated in Figure 11. Even though the time scale is not specific, notice that the service disruption can last far longer than the outage that triggered it because many of the methods operate in a distributed manner and traffic may be moved around many times during the course of the outage. It may take the network a very long time to return to "normalcy".

The goal of any network is to restore the network to health as quickly as possible. If, however, the restoral method is too aggressive there will be subsequent difficulties. Suppose the network is restoring circuits at a rate parameterized by  $P$  and the behavior is shown in Figure 12. Then suppose  $P$  is increased, the response is seen in Figure 13 during the next outage. Notice that the unrouted traffic increases between time period 8 and 9, from which it can be concluded that increasing  $P$  made matters worse rather than better. On the other hand if the behavior shown in Figure 14 is observed, the network operator would increase  $P$  in the network so that the time customers experienced unrouted traffic is decreased. Thus, the network health method is useful to tune the restoral rates in a network.

Another use of the network health method is to give a better sense of when bandwidth needs to be added to the network.

### **Adding Capacity to a Network.**

Another use of the Network Health method is in adding capacity to a network. The cost of the underlying links is often the single largest network cost. Usually network operators follow a simple rule: If the traffic on a link reaches 60%, then additional capacity is ordered. The network health method distinguishes between "good" alternate routes and "bad" alternate routes. Assume a 60% utilization constraint and then execute the following steps:

1. Derate each edge to have capacity of 60% of real.
2. Load the traffic according to system loading method.
3. Run the Network Health method and compute (Unrouted, Off Optimal, Seriously Misrouted).
4. If these numbers are over the specification, then add capacity to the network.

This use of the method prevents adding capacity to the network when there are acceptable alternate paths for traffic.





## CLAIMS

What is claimed is:

- 5           1. A method for monitoring the status of a network, comprising:  
          computing a plurality of measures of network health, including a sum of unrouted  
          permanent virtual circuits, a sum of permanent virtual circuits whose cost exceeds a prescribed  
          multiple of an optimal route cost, and a sum of permanent virtual circuits off an optimal path;  
          and  
10           comparing said measures of network health to a threshold value and selecting a  
          restoration route from a plurality of stored restoration routes.
2. The method for monitoring the status of a network of claim 1, which further  
          comprises:  
15           restoring circuits at a rate parameterized by a value P and observing the behavior of the  
          network; and  
          increasing the value P in the network to decrease the time customers experience unrouted  
          traffic.
- 20           3. The method for monitoring the status of a network of claim 1, which further  
          comprises:  
          monitoring said measures to sense when bandwidth needs to be added to the network.
4. The method for monitoring the status of a network of claim 1, which further  
25           comprises:  
          derating each edge of the network to have capacity of a predetermined fraction of real  
          capacity;  
          computing said plurality of measures of network health to identify unrouted, off optimal,  
          and seriously misrouted traffic;  
30           determining if the measures are over a specified value and if so, then adding capacity to  
          the network.

5. A system for monitoring the status of a network, comprising:

a database storing possible restoration routes;

a computer for computing a plurality of measures of network health, including a sum of

5 unrouted permanent virtual circuits, a sum of permanent virtual circuits whose cost exceeds a prescribed multiple of an optimal route cost, and a sum of permanent virtual circuits off an optimal path; and

said computer comparing said measures of network health to a threshold value and selecting a restoration route from said database storing restoration routes.

10

6. The system for monitoring the status of a network of claim 5, which further comprises:

means for restoring circuits at a rate parameterized by a value P and observing the behavior of the network; and

15 means for increasing the value P in the network to decrease the time customers experience unrouted traffic.

7. The system for monitoring the status of a network of claim 5, which further comprises:

means for monitoring said measures to sense when bandwidth needs to be added to the network.

20

8. The system for monitoring the status of a network of claim 5, which further comprises:

means for derating each edge of the network to have capacity of a predetermined fraction of real capacity;

said computer computing said plurality of measures of network health to identify

25 unrouted, off optimal, and seriously misrouted traffic;

means for determining if the measures are over a specified value and if so, then adding capacity to the network.

## **ABSTRACT**

The method disclosed monitors the status of a network by a network management system that includes information describing the current network topology information describing possible restoration routes. From this information, the network management system computes three measures of network health: (1) the sum of unrouted permanent virtual circuits, (2) the sum of permanent virtual circuits whose cost exceeds a prescribed multiple of an optimal route cost and (3) the sum of permanent virtual circuits off an optimal path. Each of these measures relates to a different aspect of network health, and collectively they provide a measure of overall network performance. The method compares the measures of network health to a threshold value and selects an optimum restoration route from the stored restoration routes.



The preferred route from F to E

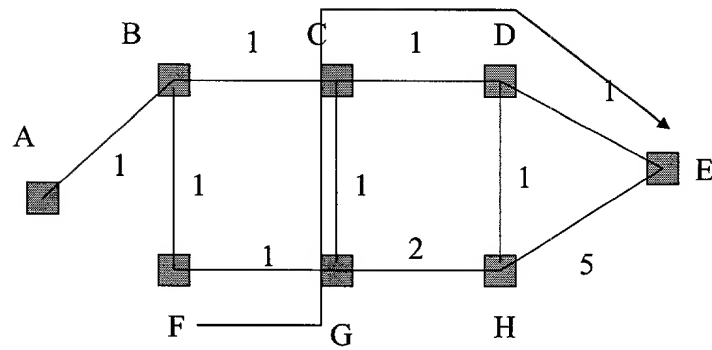


FIG. 3

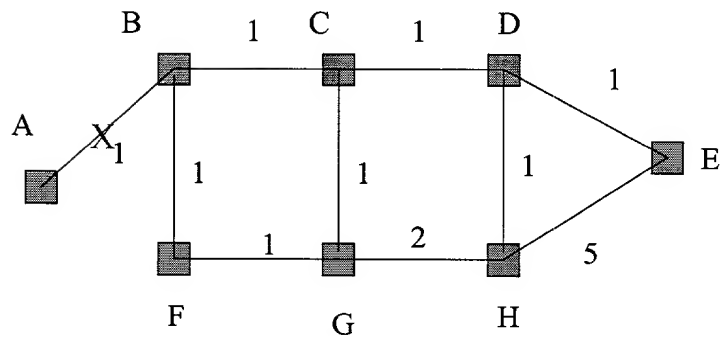


FIG. 4

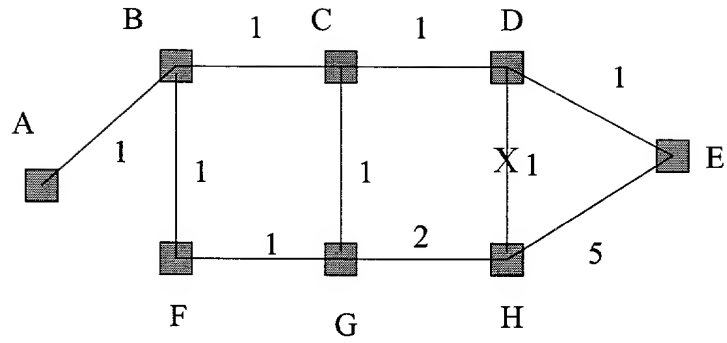


FIG. 5

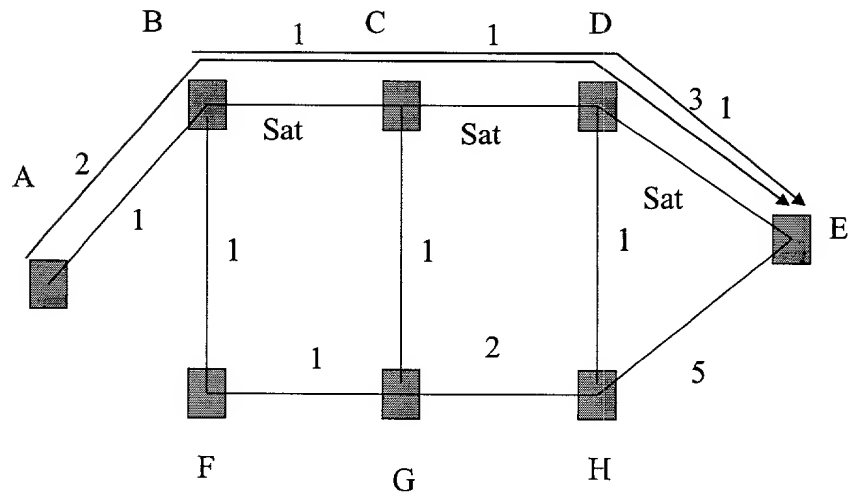


FIG. 6

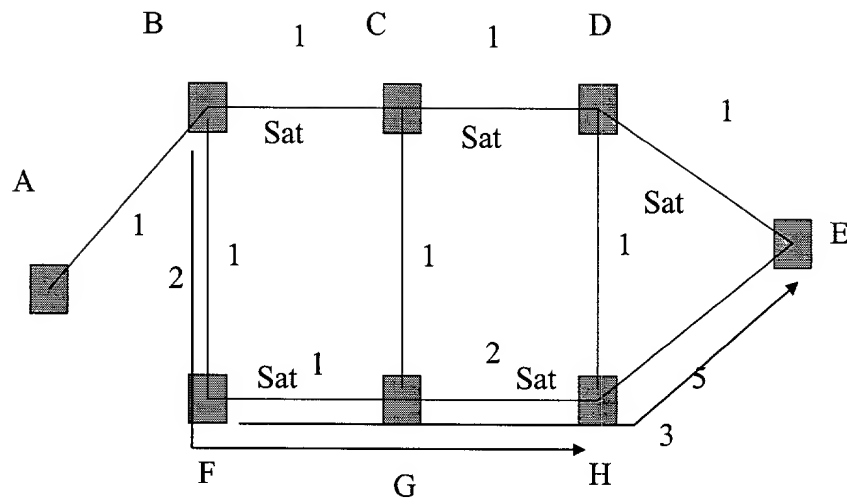


FIG. 7

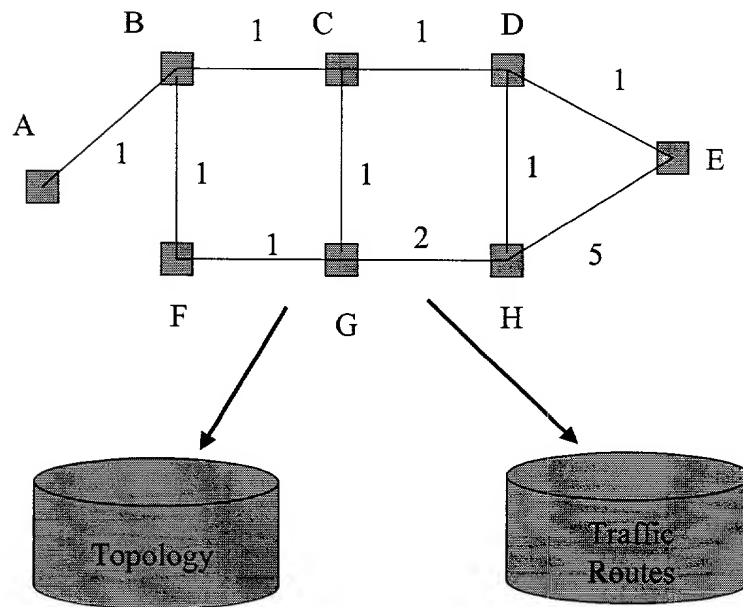


FIG. 8

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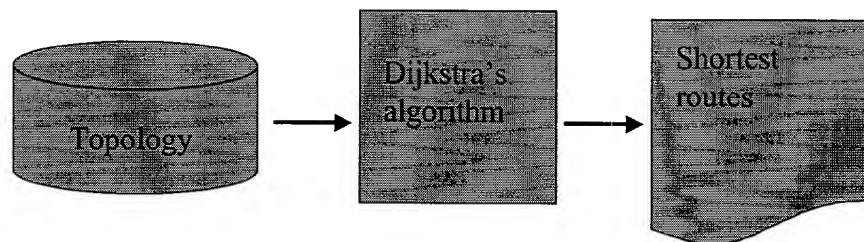


FIG. 9

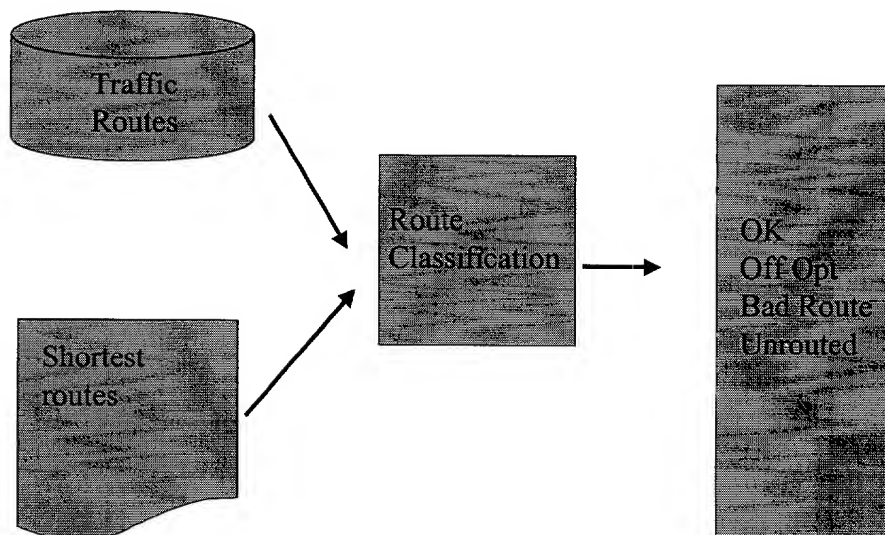


FIG. 10

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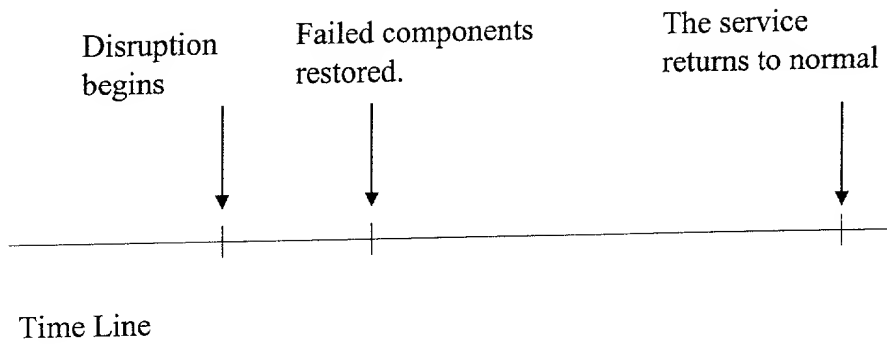


FIG. 11

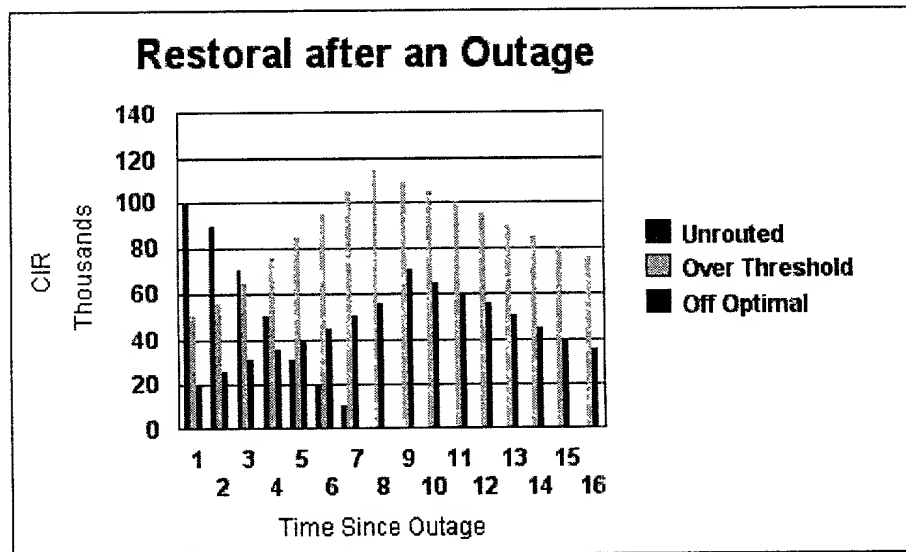


FIG. 12

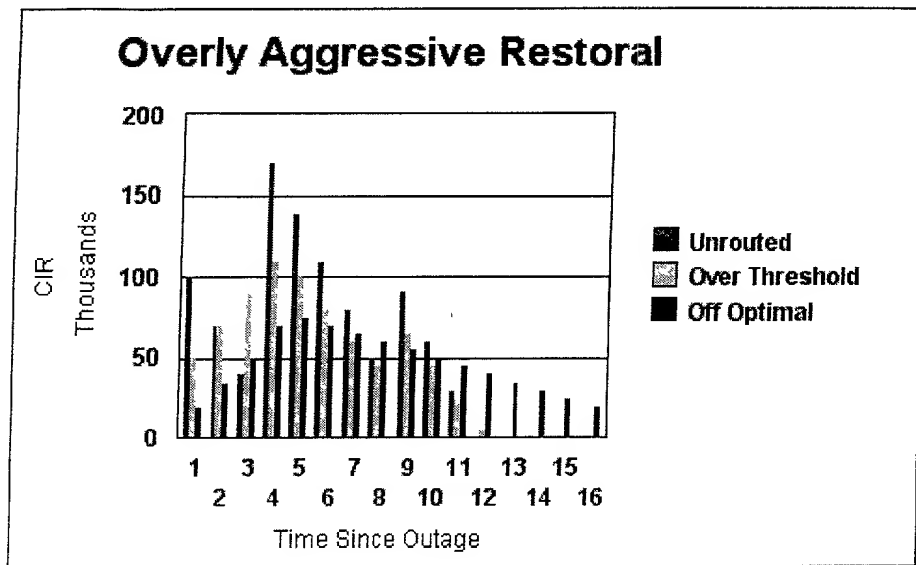


FIG. 13

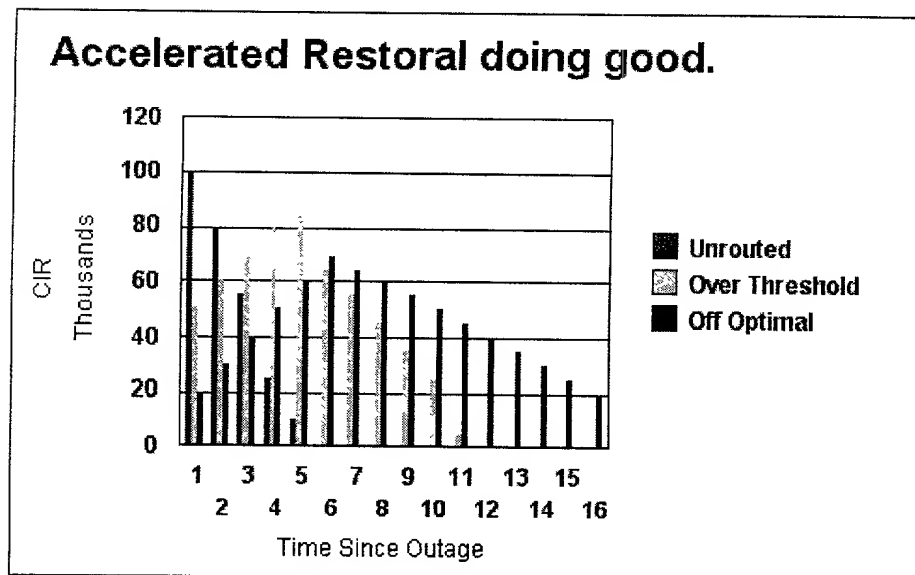


FIG. 14

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

**Declaration and Power of Attorney**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **Method For Monitoring A Network**, the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to in this oath or declaration.

I acknowledge the duty to disclose all information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, 119 (a-d) or 365(a-b) of any PCT or foreign application(s) for patent or inventors' certificate listed below or priority benefits under 119(e) of any United States provisional application(s) listed below and have also identified below any foreign application for patent or inventors' certificate having a filing date before that of the application on which priority is claimed:

**None**

I hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, 112, we acknowledge the duty to disclose all information known to us to be material to patentability as defined in Title 37, Code of Federal Regulations, 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

**None**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorney(s) with full power of substitution and revocation, to prosecute said application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith:

Samuel H. Dworetsky	(Reg. No. 27873)	Robert B. Levy	(Reg. No. 28234)
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Michele L. Conover	(Reg. No. 34962)	Gary H. Monka	(Reg. No. 35290)
Cedric G. DeLaCruz	(Reg. No. 36498)	Jeffrey M. Navon	(Reg. No. 32711)
Rohini K. Garg	(Reg. No. 45272)	Stephen J. Pentlicki	(Reg. No. 40125)
Thomas M. Isaacson	(Reg. No. 44166)	Alfred G. Steinmetz	(Reg. No. 22971)
Benjamin S. Lee	(Reg. No. 42787)		

I also appoint Christopher A. Hughes (Reg. No. 26914) and John E. Hoel (Reg. No. 26279) of Morgan & Finnegan as associate attorneys, with full power to prosecute said application, to make alterations and amendments therein, and to transact all business in the Patent and Trademark Office connected therewith.

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